

Substitute for Form 1449 A &amp; B/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

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Complete if Known

Application Number 10/069,007

Confirmation Number 4541

Filing Date February 20, 2002

First Named Inventor Mikiko SODEOKA

Art Unit ~~NOT YET ASSIGNED~~ 1626Examiner Name ~~NOT YET ASSIGNED~~ Wright

Attorney Docket Number Q68625

## U.S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. <sup>1</sup> | Document Number |                                      | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited Document |
|--------------------|-----------------------|-----------------|--------------------------------------|--------------------------------|---|
|                    |                       | Number          | Kind Code <sup>3</sup><br>(if known) |                                |   |
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## FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. <sup>1</sup> | Foreign Patent Document   |                     |                                      | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Translation <sup>6</sup> |
|--------------------|-----------------------|---------------------------|---------------------|--------------------------------------|--------------------------------|---|--------------------------|
|                    |                       | Country Code <sup>3</sup> | Number <sup>4</sup> | Kind Code <sup>3</sup><br>(if known) |                                |   |                          |
| DNW                |                       | EP                        | 0 540 956           | A1                                   | 5/12/93                        |   | English                  |
|                    |                       | WO                        | 02/10158            | A2                                   | 2/7/02                         |   | English                  |
|                    |                       | EP                        | 0 384 349           | A1                                   | 8/29/90                        |   | No                       |
|                    |                       | WO                        | 91/13070            | A1                                   | 9/5/91                         |   | No                       |
|                    |                       | WO                        | 91/13071            | A1                                   | 9/5/91                         |   | No                       |
|                    |                       | WO                        | 00/38675            | A1                                   | 7/6/00                         |   | English                  |
|                    |                       | EP                        | 0 328 026           | A1                                   | 8/16/89                        |   | No                       |
|                    |                       | WO                        | 00/06564            | A1                                   | 2/10/00                        |   | No                       |
|                    |                       | WO                        | 00/47575            | A1                                   | 8/17/00                        |   | No                       |
| DNW                |                       | JP                        | 1-233281            | A                                    | 9/19/89                        |   | No                       |
|                    |                       |                           |                     |                                      |                                |   |                          |
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## OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

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|--------------------|-----------------------|--|--------------------------|
|                    |                       | European Search Report dated August 30, 2002   |                          |
| DNW                |                       | International Search Report dated October 24, 2000   |                          |
| DNW                |                       | Siobhan T. HARKIN et al., "Modulation of Apoptosis in Rat Thymocytes by Analogs of Staurosporine: Lack of Direct Association with Inhibition of Protein Kinase C", Mol. Pharmacol., 1998, 54(4) pp. 663-670  |                          |
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Examiner Signature

Donya Wright

Date Considered

1-23-04

\*The examiner did not have a copy of the reference.

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| <b>INFORMATION DISCLOSURE<br/>         STATEMENT BY APPLICANT</b><br><br>(use as many sheets as necessary) |   |    |   | Application Number     | NOT YET ASSIGNED                   |
|  |   |    |   | Confirmation Number    | NOT YET ASSIGNED                   |
|  |   |    |   | Filing Date            | February 20, 2002                  |
|  |   |    |   | First Named Inventor   | Mikiko SODEOKA                     |
|  |   |    |   | Art Unit               | <del>NOT YET ASSIGNED</del> 1626   |
|  |   |    |   | Examiner Name          | <del>NOT YET ASSIGNED</del> Wright |
| Sheet  | 1 | of | 1 | Attorney Docket Number | Q68625                             |

| U.S. PATENT DOCUMENTS |                       |                 |                                      |                                |   |
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|                       |                       | Number          | Kind Code <sup>3</sup><br>(if known) |                                |   |
|                       |                       | US              |                                      |                                |   |
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| FOREIGN PATENT DOCUMENTS |                       |                           |                     |                                      |                                |  |                          |
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|                          |                       | Country Code <sup>3</sup> | Number <sup>4</sup> | Kind Code <sup>5</sup><br>(if known) |                                |  |                          |
| SNW                      |                       | EP                        | 328026              | A1                                   | 08/16/89                       |  |                          |
| 1                        |                       | WO                        | 00/47575            | A1                                   | 08/17/00                       |  |                          |
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| OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS |                       |  |                          |
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|   |                       | International Search Report  |                          |
| SNW   |                       | HARKIN et al, "Modulation of apoptosis in rat thymocytes by analogs of staurosporine: lack of direct association with inhibition of protein", Mol. Pharmacol., (1998), 54(4), pp. 663-70   |                          |
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